**PATENT** 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant	:	Felgner et al.	١	Group Art Unit 2651	•	MEC NUG 1	EIV	'Fn
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For	:	INTRACELLULAR PROTEIN DELIVERY COMPOSITIONS	)	JUN 2			_	ĭ
Examiner	:	AND METHODS OF USE Unknown	)	Technology C		ER 1	AUG 2 2 2001	KECEIV
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Assistant Com Washington, D		oner for Patents 0231		TATEMENT  TATEMENT  TATEMENT	3 1 2001	EIVED		

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

## CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than thirty days prior to the filing of this Information Disclosure Statement.

Appl. No. :

09/738,046

**Filed** 

December 15, 2000



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Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Ned A. Israelsen

By:

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Case Docket No. GTSYS.004A

Date: June 15, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Felgner, et al.

Appl. No.

09/738,046

Filed

December 15, 2000

For

INTRACELLULAR

PROTEIN DELIVERY **COMPOSITIONS AND** 

METHODS OF USE

Examiner

Unknown

**Technology Center 2600** 

Group Art Unit:

2651

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

sen, Reg. No. 29,655

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JUN 2 1 2001

**Technology Center 2600** 

## TRANSMITTAL LETTER

## ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X)An Information Disclosure Statement.
- (X) A PTO Form 1449 with nine (9) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

(X) Return prepaid postcard.

> Ned A. Israelsen Registration No. 29,655 Attorney of Record

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SHEET 1 OF

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. GTSYS,004A

APPLICATION NO. 09/738,046

SUPERIENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT

RECEIVED

Felgner et al.

JUN 2 1 2001

1 8 2001 (USE SEVERAL SHEETS IF NECESSARY) FILING DATE

GROUP **Technology Center 2600** December 15, 2000

	U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)			
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						UG 2 2 2001			
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FOREIGN PATENT DOCUMENTS								
EXAMINER		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
INITIAL	] ]					}	YES	NO
	1	WO 99/13719	03/25/99	LO IN TOO			-	
	2	WO 99/58152	11/18/99	PCT				
	3	WO 99/08997	02/25,99	WIN TO				
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EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
	4	International Search Report from PCT/US00/33969					
	5	Abai A. M. et al.; "Mechanism of Cationic Lipid / Cell Interactions and a General Strategy for Intracellular Protein Delivery"; Journal of Cell Biology, vol. 111, no. 5 part 2; 1990; page 380A.					
	6	Afzelius, P., et al.; "Covalent Modification of Serum Transferrin with Phospholipid and Incorporation into Liposomal Membranes"; Biosciences Information Service, Philliadelphia, PA, US; 1989; database accession no. PREV198987111700 XP002166274.					
	7	Schwendener R.A., et al.; "Comparative Studies of the Preparation of Immunoliposomes with the Use of Two Bifunctional Coupling Agents and Investigation of In-Vitro Immunoliposome-Target Cell Binding By Cytofluorimetry and Electron Microscopy"; Biosciences Information Service, Philadelphia, PA, US; 1990; database accession no. PREV199090083433 XP002166275.					
	8	Zelphati, Oliver, et al.; "Gene Chemistry: Functionally and Conformationally Intact Fluorescent Plasmid DNA"; Biosciences Information Service, Philadelphia, PA. US; January 1, 1999; database accession no. PREV199900098284 XP002166276.					
	9	Zheng, Lian, et al.; "Delivery of Liposome-Encapsulated HIV Type 1 Proteins to Human Dendritic Cells for Stimulation of HIV Type 1-Specific Memory Cytotoxic T Lymphocyte Responses": AIDS Research and Human Retroviruses, vol. 15, no. 11: July 20, 1999; pages 1011-1020					

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DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.